

Kinetic effects of temperature on rates of genetic divergence and speciation

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Latitudinal gradients of biodiversity and macroevolutionary dynamics are prominent yet poorly understood. We derive a model that quantifies the role of kinetic energy in generating biodiversity. The model predicts that rates of genetic divergence and speciation are both governed by metabolic rate and therefore show the same exponential temperature dependence (activation energy of ≈ 0.65 eV; $1 \text{ eV} = 1.602 \times 10^{-19} \text{ J}$). Predictions are supported by global datasets from planktonic foraminifera for rates of DNA evolution and speciation spanning 30 million years. As predicted by the model, rates of speciation increase toward the tropics even after controlling for the greater ocean coverage at tropical latitudes. Our model and results indicate that individual metabolic rate is a primary determinant of evolutionary rates: $\approx 10^{13} \text{ J}$ of energy flux per gram of tissue generates one substitution per nucleotide in the nuclear genome, and $\approx 10^{23} \text{ J}$ of energy flux per population generates a new species of foraminifera.

allopatric speciation | biodiversity | macroevolution | metabolic theory of ecology | molecular clock

The latitudinal increase in biodiversity from the poles to the equator is the most pervasive feature of biogeography. For two centuries, since the time of von Humboldt, Darwin, and Wallace, scientists have proposed hypotheses to explain this pattern. New species arise through the evolution of genetic differences among populations from a common ancestral lineage (1–4). Many hypotheses therefore attribute the latitudinal biodiversity gradient to a gradient in speciation rates caused by some independent variable, such as earth surface area or solar energy input (5–7). Some fossil data suggest that speciation rates do indeed increase toward the tropics (8–10), but these findings remain open to debate due in part to our limited understanding of the factors that control macroevolutionary dynamics.

Recent advances toward a metabolic theory of ecology (11) provide new opportunities for assessing the factors that control speciation rates. This recent work indicates that two fundamental variables influencing the tempo of evolution, the generation time, and the mutation rate (3) are both direct consequences of biological metabolism (12–14). Here we combine these recent insights from metabolic theory with the theory of population genetics to derive a model that predicts how environmental temperature, through its effects on individual metabolic rates (Eqs. 1–4), influences rates of genetic divergence among populations (Eqs. 5–7) and rates of speciation in communities (Eqs. 8 and 9). We evaluate the model by using data from planktonic foraminifera, because this group has extensive DNA sequence data for evaluating population-level predictions on genetic divergence combined with an exceptionally complete fossil record for evaluating community-level predictions on speciation rates.

Model Development

The two individual-level variables constraining the evolutionary rate of a population, the generation time, and the mutation rate (3) are both direct consequences of biological metabolism (15, 16). They are both governed by the body size- and temperature-

dependence of mass-specific metabolic rate, \bar{B} ($\text{J}\cdot\text{sec}^{-1}\cdot\text{g}^{-1}$) (12–14):

$$\bar{B} = B/M = b_o M^{-1/4} e^{-E/kT} = B_o e^{-E/kT}, \quad [1]$$

where B is individual metabolic rate ($\text{J}\cdot\text{sec}^{-1}$), M is body mass (g), T is absolute temperature (K), B_o is a normalization parameter independent of temperature ($\text{J}\cdot\text{sec}^{-1}\cdot\text{g}^{-1}$) that varies with body size as $B_o = b_o M^{-1/4}$ (12), and b_o is a normalization parameter independent of body size and temperature that varies among taxonomic and functional groups (12, 17). The Boltzmann–Arrhenius factor, $e^{-E/kT}$, characterizes the exponential effect of temperature on metabolic rate, where E is the average activation energy of the respiratory complex (≈ 0.65 eV; $1 \text{ eV} = 1.602 \times 10^{-19} \text{ J}$), and k is the Boltzmann constant ($8.62 \times 10^{-5} \text{ eV}\cdot\text{K}^{-1}$). This Boltzmann–Arrhenius factor has been shown to describe the temperature dependence of metabolic rate for a broad assortment of organisms in recent work (12) and in much earlier work conducted near the beginning of the last century (18).

Recent work indicates that the generation time, expressed here as the individual turnover rate, g (generations sec^{-1}), and the mutation rate, α (mutations·nucleotide $^{-1}\cdot\text{sec}^{-1}$), both show this same temperature dependence (12–14):

$$g = g_o \bar{B} = g_o B_o e^{-E/kT} \quad [2]$$

and

$$\alpha = \alpha_o \bar{B} = \alpha_o B_o e^{-E/kT}, \quad [3]$$

where g_o is the number of generations per joule of energy flux through a gram of tissue (generations· $\text{J}^{-1}\cdot\text{g}$), and α_o is the number of mutations per nucleotide per joule of energy flux through a gram of tissue (mutations·nucleotide $^{-1}\cdot\text{J}^{-1}\cdot\text{g}$). Eqs. 2 and 3 predict a 15-fold increase in the rates of individual turnover and mutation over the temperature range $0\text{--}30^\circ\text{C}$ from the poles to the equator ($e^{-E/k303}/e^{-E/k273} = 15$ -fold from $273\text{--}303 \text{ K}$). Because g and α are both governed by \bar{B} , the number of mutations per nucleotide per generation,

$$\alpha_\tau = \alpha/g = \alpha_o/g_o \propto e^{0/kT}, \quad [4]$$

is independent of temperature.

Speciation entails genetic divergence among populations from a common ancestral lineage, resulting in reproductive isolation (2, 4). The theory of population genetics characterizes the rate of increase in the total genetic divergence, D (substitutions nucleotide $^{-1}$), between two reproductively isolated diploid pop-

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Abbreviations: CI, confidence interval; FO, first occurrence; Ma, mega-annum; SSU rDNA, small subunit rRNA-encoding DNA.

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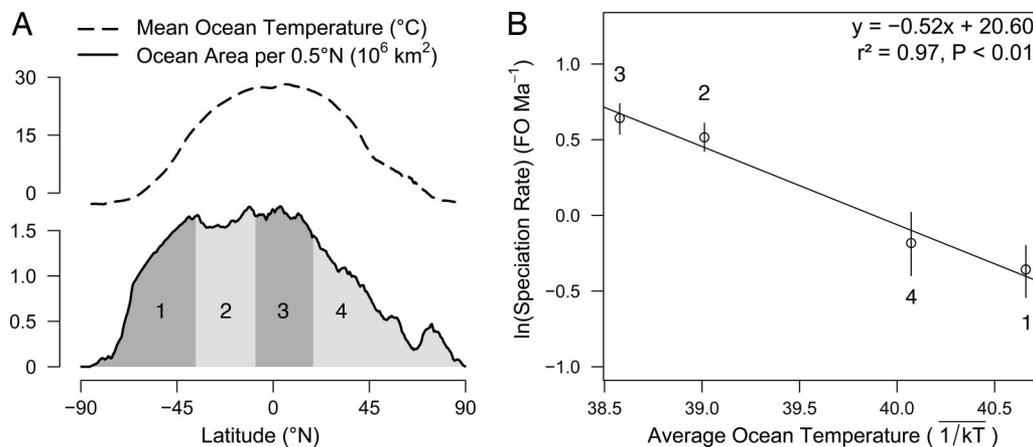


Fig. 3. Both ecological and macroevolutionary variables exhibit pronounced variation from the poles to the equator. (A) Depicted are the latitudinal gradient in contemporary mean annual sea-surface temperatures (48) (dashed line) and ocean surface area per 0.5° latitude (solid line; negative numbers correspond to southern latitudes). Different shades are used to represent four equal-area latitudinal bands of $\approx 9.1 \times 10^7$ km² ocean area each. (B) Depicted are the effects of ocean temperature on time-averaged speciation rates over the past 30 Ma in each of the four equal-area latitudinal bands. The line was fitted by using ordinary least-squares regression. Speciation rates were calculated based on the latitudinal distribution of >150 FO of foraminifera morphospecies by using the Neptune database (32); 95% CIs (vertical lines) were generated, as described in Appendix 3, by using a randomization procedure that explicitly controls for the effects of variation in sampling efforts on paleontological analyses. The average sea-surface temperature within each latitudinal band over the past 30 Ma was estimated, as described in Appendix 4, by using a robust paleotemperature calibration (33).

over the past 30 Ma (see Appendix 4, which is published as supporting information on the PNAS web site). According to our model, this correlation reflects the combined effects of temperature-dependent changes in the per capita speciation rate, ν (Eq. 8), and in total community abundance per unit area, J_A (Eq. 9), because only ocean area, A_m , is held constant for the metacommunity-level rates depicted in Fig. 3B.

Importantly, the strength of this correlation may be sensitive to the number and placement of latitudinal bands, because FO events for ocean plankton are unevenly distributed across latitudes, as shown in another study conducted with the Neptune database (32). These findings are consistent with the hypothesis that speciation events for marine taxa are often concentrated along the margins of oceanographic currents, because these currents facilitate divergent selection, genetic divergence, and speciation (34, 35). In our model, oceanographic currents could enhance speciation rates through their effects on population subdivision (J_s), the intensity of natural selection (s), and/or metacommunity abundance (J_A) (Eqs. 5–9).

To control for any effects of spatial aggregation of FO events on the estimated rates of macroevolution, we evaluate the predicted temperature dependence of the per capita speciation rate, ν (Eq. 8), by using an alternative approach that explicitly controls for latitudinal covariation in ocean area, temperature, and metacommunity abundance per unit area, J_A (Eq. 9), without having to bin the FO data into arbitrary regions (Appendix 5, which is published as supporting information on the PNAS web site). By using this alternative approach, we obtain a 95% CI for E that includes the predicted value of 0.65 eV ($\bar{x} = 0.78$ eV; 95% CI, 0.62–0.96 eV). Thus, after controlling for variation in foraminifera community abundance across latitudes, the temperature-dependence of speciation matches the prediction derived in Eq. 8 based on the activation energy of individual metabolic rate. These results support Assumption 3 of our model that variation in speciation rates across global temperature gradients is largely controlled by the same individual-level variables constraining rates of genetic divergence among populations (i.e., generation times and mutation rates in Eqs. 2 and 3).

The model and results presented here yield four insights into the factors governing the origin and maintenance of biodiversity.

The first insight is that energy flux is a primary determinant of evolutionary dynamics. Consequently, the rates of nucleotide substitution (Fig. 1) and per capita speciation both vary exponentially with temperature according to the same Boltzmann–Arrhenius factor controlling individual metabolic rate ($e^{-E/kT}$ in Eq. 1). The second insight is that the total genetic change required to produce a new species, characterized by D_s , is independent of temperature (Fig. 2) and therefore independent of latitude and metabolic rate. Our model and results support the hypothesis that the tropics are a “cradle” for biodiversity (10, 36), because a given amount of genetic change results in the same degree of ecological and morphological differentiation, regardless of the temperature regime, but takes exponentially less time in a hotter environment (Eq. 6) due to shorter generation times (Eq. 2) and higher mutation rates (Eq. 3). Consequently, “effective” evolutionary time per unit absolute time is greater at tropical latitudes, as proposed by Rohde (37).

The third insight is that a fixed quantity of energy is required, on average, to produce a given magnitude of evolutionary change. We showed earlier that $\approx 2.5 \times 10^{13}$ J of energy must be fluxed per gram of tissue to induce one substitution per nucleotide in nuclear genomes of primates (14). That estimate is remarkably close to the value determined here of $\approx 1.8 \times 10^{13}$ J g⁻¹ for nuclear genomes of foraminifera (see *Methods*). Similarly, a fixed but much larger quantity of energy must be fluxed through a population to produce a new morphospecies of foraminifera, independent of environmental temperature and hence latitude. We estimate this quantity to be $b_o \bar{M}^{3/4} / \nu_o \approx 10^{23}$ J based on estimates for $b_o \approx 2.8 \times 10^7$ W g^{-3/4} (17), $\nu_o \approx 5.6 \times 10^{-20}$ species·individual⁻¹·sec⁻¹ (see Appendix 5), and the geometric mean of the foraminifera mass estimates in Appendix 1, $\bar{M} \approx 5.7 \times 10^{-5}$ g. This is an enormous quantity of energy; it exceeds global net primary production for an entire year ($\approx 10^{21}$ J) (38) and current annual fossil fuel consumption by all of humanity ($\approx 10^{20}$ J) (39). We expect this quantity to vary with the mode of speciation and hence with taxon and environmental setting, because the absolute rate of genetic divergence is a function not only of individual-level variables governed by metabolic rate (i.e., generation times and mutation rates) but also of gene flow, effective population size, and the intensity of natural selection. This example highlights the need to better understand how individual-level variables (Eqs. 2 and 3) combine with spatially explicit

Appendix 1

Global compilation of SSU rDNA data depicted in Fig. 1, along with citations of data sources and descriptions of variables. The designations (S) and (D) for the species pairs below refer to shallow- and deeper-dwelling taxa.

Species pair	I. Body Size (g)			II. Temperature (°C)			III. Div. Time (Ma)			IV. Divergence		V. Rate		$\ln(f_s \alpha M^{1/4})$
	M_1	M_2	$\langle M \rangle_q$	T_1	T_2	$\langle T \rangle_E$	T_{min}	T_{max}	Source	D	Sources	$f_s \alpha = D/2\Gamma$	$1/kT$	
<i>Globigerina bulloides</i> (D) / <i>Globigerina falconensis</i> (S)	1.96E-05	7.80E-06	1.20E-05	9	18	14	18	18.6	(1)	0.229	(2)	0.626	40.40	-3.30
<i>Globigerinella siphonifera</i> (D) / <i>Globigerina bulloides</i> (D)	9.67E-05	1.96E-05	4.02E-05	19	9	15	27.0	29.0	(3)	0.185	(3)	0.330	40.30	-3.64
<i>Globigerinella siphonifera</i> (D) / <i>Globigerinella calida</i> (D)	9.67E-05	5.56E-05	7.26E-05	19	18	18	5.0	5.0	(3)	0.038	(3, 4)	0.375	39.80	-3.36
<i>Globigerinella siphonifera</i> (D) / <i>Globigerinoides conglobatus</i> (S)	9.67E-05	5.56E-05	7.27E-05	19	24	22	25.0	25.0	(5)	0.190	(6)	0.381	39.38	-3.35
<i>Globigerinella siphonifera</i> (D) / <i>Globigerinoides ruber</i> (S)	9.67E-05	2.73E-05	4.89E-05	19	24	22	25.0	25.0	(5)	0.213	(6)	0.425	39.38	-3.34
<i>Globigerinella siphonifera</i> (D) / <i>Globigerinoides sacculifer</i> (S)	9.67E-05	9.58E-05	9.63E-05	19	25	22	25.0	28.5	(3, 5)	0.231	(6)	0.431	39.28	-3.15
<i>Globigerinoides ruber</i> (S) / <i>Globigerinoides conglobatus</i> (S)	2.73E-05	5.56E-05	3.84E-05	24	24	24	5.0	10.0	(3)	0.092	(3, 4, 6-9)	0.613	39.07	-3.03
<i>Globigerinoides ruber</i> (S) / <i>Globigerinoides sacculifer</i> (S)	2.73E-05	9.58E-05	4.87E-05	24	25	25	25.0	28.5	(3)	0.245	(3, 6, 7)	0.459	38.98	-3.26
<i>Globigerinoides ruber</i> (S) / <i>Orbulina universa</i> (S)	2.73E-05	3.10E-04	7.67E-05	24	18	21	17.0	25.0	(9)	0.157	(7, 9)	0.374	39.42	-3.35
<i>Globigerinoides sacculifer</i> (S) / <i>Globigerinoides conglobatus</i> (S)	9.58E-05	5.56E-05	7.23E-05	25	24	25	25.0	28.5	(3, 5)	0.242	(6, 7)	0.452	38.98	-3.18
<i>Globigerinoides sacculifer</i> (S) / <i>Orbulina universa</i> (S)	9.58E-05	3.10E-04	1.65E-04	25	18	22	16.4	21.7	(3)	0.191	(3, 4, 6, 7)	0.501	39.32	-2.87
<i>Globorotalia hirsuta</i> (D) / <i>Globorotalia inflata</i> (D)	3.86E-05	1.91E-05	2.67E-05	14	10	12	17.0	18.5	(3)	0.034	(3)	0.096	40.66	-4.98
<i>Globorotalia menardii</i> (D) / <i>Globorotalia hirsuta</i> (D)	1.26E-04	3.86E-05	6.67E-05	16	14	15	15.8	19.0	(3)	0.115	(3)	0.330	40.29	-3.51
<i>Globorotalia menardii</i> (D) / <i>Globorotalia inflata</i> (D)	1.26E-04	1.91E-05	4.39E-05	16	10	13	17.0	19.0	(3)	0.108	(3)	0.300	40.55	-3.71
<i>Globorotalia menardii</i> (D) / <i>Globorotalia truncatulinoides</i> (D)	1.26E-04	1.27E-04	1.26E-04	16	12	14	15.8	19.0	(3)	0.140	(3)	0.402	40.44	-3.15
<i>Globorotalia truncatulinoides</i> (D) / <i>Globorotalia hirsuta</i> (D)	1.27E-04	3.86E-05	6.69E-05	12	14	13	7.4	10.5	(3)	0.084	(3, 4)	0.469	40.54	-3.16
<i>Globorotalia truncatulinoides</i> (D) / <i>Globorotalia inflata</i> (D)	1.27E-04	1.91E-05	4.40E-05	12	10	11	17.0	18.5	(3)	0.096	(3, 4)	0.270	40.85	-3.82
<i>Neogloboquadrina dutertrei</i> (D) / <i>Globorotalia hirsuta</i> (D)	8.14E-05	3.86E-05	5.51E-05	14	14	14	11.0	23.8	(3)	0.027	(3)	0.078	40.37	-5.01
<i>Neogloboquadrina dutertrei</i> (D) / <i>Globorotalia inflata</i> (D)	8.14E-05	1.91E-05	3.69E-05	14	10	12	11.0	23.8	(3)	0.014	(3)	0.040	40.64	-5.76

<i>Neogloboquadrina dutertrei</i> (D) / <i>Neogloboquadrina pachyderma</i> (D)	8.14E-05	5.37E-06	1.67E-05	14	4	10		10.4	10.4	(10)	0.054	(2)	0.260	40.95	-4.10
<i>Neogloboquadrina dutertrei</i> (D) / <i>Pulleniatina obliquiloculata</i> (D)	8.14E-05	6.15E-05	7.06E-05	14	17	16		5.8	5.8	(10)	0.015	(11, 12)	0.129	40.20	-4.44
<i>Orbulina universa</i> (S) / <i>Globigerinella siphonifera</i> (D)	3.10E-04	9.67E-05	1.66E-04	18	19	18		25.0	28.5	(3, 5)	0.181	(6)	0.338	39.83	-3.26
<i>Orbulina universa</i> (S) / <i>Globigerinoides conglobatus</i> (S)	3.10E-04	5.56E-05	1.20E-04	18	24	21		25.0	28.5	(3, 5)	0.207	(6, 7)	0.387	39.43	-3.21

Body Size. Foraminifera size is generally reported as maximum shell length, l_1 , but foraminifera shells vary in shape from thin disks (e.g. *Globorotalia menardii*) to nearly perfect spheres (e.g. *Orbulina universa*) (1). To account for these differences in shell shape, we estimated the body mass, M_i (g), of each morphospecies as $M_i \approx \rho \left(\frac{4}{3}\right) \pi \left(\frac{l_1}{2}\right) \left(\frac{l_2}{2}\right) \left(\frac{l_3}{2}\right) = \rho \left(\frac{\pi}{6}\right) l_1^3 \left(\frac{l_2}{l_1}\right) \left(\frac{l_3}{l_1}\right)$, where l_2 and l_3 are the maximum shell widths along the two axes perpendicular to l_1 , assuming that shells are approximately ellipsoidal in shape, and that the density of body tissue is similar to that of water (i.e., $\rho \approx 1 \text{ g cm}^{-3}$). Estimates of l_1 were obtained from a published compilation (13). Estimates of the relative widths, l_2/l_1 and l_3/l_1 , were obtained by taking measurements of published photographs of specimens (1, 14). The product of the relative dimensions, $(l_2/l_1)(l_3/l_1)$, varied from 0.44 for *G. menardii* to 0.87 for *O. universa*. The rate of molecular evolution, $f_0\alpha$, is calculated as $f_0\alpha = D/2\Gamma$ (following Eq. 5), where D (substitutions nucleotide⁻¹) is the genetic divergence between two taxa that shared a common ancestor Γ time units ago, and f_0 is the fraction of mutations that are selectively neutral (following Eq. 5). Our model predicts that the rate of molecular evolution declines with increasing body size as $f_0\alpha \propto M_i^{-1/4}$ (following Eqs. 1-5), so $D \propto (M_1^{-1/4} + M_2^{-1/4})$ if temperature and Γ are both held constant. Consequently, the smaller-bodied taxon makes a greater contribution to the genetic divergence, D , and hence to the

estimated rate of molecular evolution, $f_0\alpha$. To account for the greater contribution of the smaller-bodied taxon to the estimate of $f_0\alpha$, we calculate the overall body size of each taxon pair using the “quarter-power” average of mass (15): $\langle M \rangle_q = \left((M_1^{-1/4} + M_2^{-1/4}) / 2 \right)^{-4}$.

Temperature. We estimated the habitat temperature, T_i , of each morphospecies using the Brown Foraminifera Database (BFD) (16) in conjunction with contemporary ocean temperature data (17). The BFD is comprised of 1,265 samples, resolved to morphospecies, for large counts of foraminifera shells in contemporary sediments ($\bar{x} \pm \text{s.d.}$: 432 ± 236 individuals per sample). Most BFD samples were collected from tropical sites with sea-surface temperatures $>25^\circ\text{C}$. In order to use these data to estimate T_i , we first subdivided the 1,265 BFD samples into six habitat temperature bins ($0\text{--}5^\circ\text{C}$, $5\text{--}10^\circ\text{C}$, ... $25\text{--}30^\circ\text{C}$) by using temperature data in the World Ocean Database (17). We then estimated the habitat temperature of each taxon as $T_i = \sum_{j=1}^{j=6} P_{i,j} \bar{T}_j / \sum_{j=1}^{j=6} P_{i,j}$, where \bar{T}_j is the temperature midpoint of bin j ($\bar{T}_1 = 2.5^\circ\text{C}$, $\bar{T}_2 = 7.5^\circ\text{C}$, ... $\bar{T}_6 = 27.5^\circ\text{C}$), and $P_{i,j}$ is the average proportional abundance of species i in bin j of the BFD samples. We used mean annual sea-surface temperature data (17) to bin the BFD samples and estimate habitat temperatures for shallow-water dwellers (S) and mean annual temperatures at 200-m depth (17) to bin the samples and estimate habitat temperatures for deeper-water dwellers (D). Two published sources were used to assign taxa to these categories (ref. 18 and <http://palaeo.gly.bris.ac.uk/Data/plankrange.html>). Our model predicts that the rate of molecular evolution increases exponentially with temperature according to the Boltzmann relationship (following Eqs. 1-5), so $D \propto (e^{-E/kT_1} + e^{-E/kT_2})$ if Γ and body mass and are both held constant. Consequently, the taxon occurring in the warmer environment makes a greater contribution to

the genetic divergence, D , and hence to the estimated rate of molecular evolution, $f_0\alpha$. To control for the greater contribution of the warmer-bodied taxon to the estimate of $f_0\alpha$, we characterized the overall habitat temperature of each taxon pair by using the Boltzmann average:

$\langle T \rangle_E = -E/\ln\left(\left(e^{-E/kT_1} + e^{-E/kT_2}\right)/2\right)k$, where T_1 and T_2 are both in Kelvins. Please note that we report T_1 , T_2 , and $\langle T \rangle_E$ in units of °C in the table above for clarity of presentation but that $1/k\langle T \rangle_E$ is calculated based on absolute habitat temperature in units of Kelvin.

Evolutionary Rates for SSU rDNA. Estimates of overall genetic divergence, D , in the SSU rDNA gene, minimum and maximum divergence times, Γ_{\min} and Γ_{\max} (in Ma) were obtained from the sources cited in the table above. If D was reported in multiple sources, the arithmetic average of the different estimates was taken. The rate of molecular evolution ($f_0\alpha$, % substitutions•nucleotide⁻¹•Ma⁻¹) was then calculated as $f_0\alpha = D/(\Gamma_{\min} + \Gamma_{\max})$. It is important to recognize that our methods of estimating body size, $\langle M \rangle_q$, and temperature, $\langle T \rangle_E$, assume that extant taxa are similar in size to and occur in similar thermal environments as their common ancestors (15). We therefore excluded from analysis the only microperforate pair of planktonic foraminifera with genetic divergence data, *Globigerinita uvula* and *Globigerinita glutinata*, because molecular evidence indicates that both taxa diverged from a benthic lineage relatively recently (2) and may therefore differ considerably in size and/or habitat temperature from their common ancestor.

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Appendix 2

Genetic divergences among cryptic genotypes, D_s , were compiled from the sources cited below. If D_s was reported in multiple sources, then the arithmetic average of the different estimates was taken. Habitat temperatures for each taxon pair, T_1 and T_2 , were estimated from the latitude/longitude coordinates where genotypes were sampled using mean annual sea-surface temperature data (1) for shallow-water morphospecies (S), and mean annual temperatures at 200-m depth (1) for deeper-water morphospecies (D). Two published sources were used to assign taxa to these categories (ref. 2 and <http://palaeo.gly.bris.ac.uk/Data/plankrange.html>). The overall habitat temperature of each taxon pair was then calculated using the Boltzmann average, $\langle T \rangle_E = -E/\ln\left(\left(e^{-E/kT_1} + e^{-E/kT_2}\right)/2\right)k$. Please note that T_1 , T_2 , and $\langle T \rangle_E$ are reported below in units of degrees Celsius for clarity, but that $1/k\langle T \rangle_E$ is calculated based on absolute temperature in Kelvins. Habitat descriptions for each cryptic genotype listed below are summarized in ref. 3.

Morphospecies	Genotype 1	Genotype 2	Temperature (°C)			Divergence		$1/k\langle T \rangle_E$	$\ln(D_s)$
	(Latitude / Longitude)	(Latitude / Longitude)	T_1	T_2	$\langle T \rangle_E$	D_s	Sources		
<i>Globigerina bulloides</i> (D)	Type Ia (-14.5° / 145.5°)	Type Ib (43.5° / 8.5°)	20	13	17	0.051	(4)	39.96	-2.98
<i>Globigerina bulloides</i> (D)	Type IIa (61.5° / -35.5°)	Type IIb (59.5° / -22.5°)	5	9	7	0.039	(4, 5)	41.44	-3.25
<i>Globigerina bulloides</i> (D)	Type IIa (61.5° / -35.5°)	Type IIc (-52.5° / -56.5°)	5	5	5	0.032	(5)	41.73	-3.45

Morphospecies	Genotype 1	Genotype 2	Temperature (°C)			Divergence		$1/k < T >_E$	$\ln(D_3)$
	(Latitude / Longitude)	(Latitude / Longitude)	T_1	T_2	$<T>_E$	D_s	Sources		
<i>Globigerina bulloides</i> (D)	Type IIa (61.5° / -35.5°)	Type IIc (32.5° / -118.5°)	5	9	7	0.043	(4, 5)	41.44	-3.15
<i>Globigerina bulloides</i> (D)	Type IIb (59.5° / -22.5°)	Type IIc (-52.5° / -56.5°)	9	5	7	0.050	(5)	41.45	-3.00
<i>Globigerina bulloides</i> (D)	Type IIb (59.5° / -22.5°)	Type IIc (32.5° / -118.5°)	9	9	9	0.018	(4, 5)	41.20	-4.01
<i>Globigerina bulloides</i> (D)	Types Ia/Ib (-14.5° / 145.5°)	Types IIa/IIb/IIc (-55.5° / -60.5°)	20	4	15	0.090	(4, 6)	40.32	-2.41
<i>Globigerinella siphonifera</i> (D)	Type I (12.5° / -68.5°)	Type IIa (12.5° / -68.5°)	17	17	17	0.062	(7, 8)	39.99	-2.78
<i>Globigerinella siphonifera</i> (D)	Type I (12.5° / -68.5°)	Types IIa/IIb (12.5° / -68.5°)	17	17	17	0.040	(6)	39.99	-3.22
<i>Globigerinella siphonifera</i> (D)	Type IIa (12.5° / -68.5°)	Type IIb (32.5° / -118.5°)	17	9	14	0.011	(6)	40.48	-4.51
<i>Globigerinoides ruber</i> (S)	pink (12.5° / -68.5°)	Type Ia (-14.5° / 145.5°)	27	27	27	0.056	(7, 8)	38.66	-2.89
<i>Globigerinoides ruber</i> (S)	pink (12.5° / -68.5°)	Types Ia/Ib (17.5° / -67.5°)	27	28	27	0.055	(6)	38.61	-2.90
<i>Globigerinoides ruber</i> (S)	pink/Types Ia/Ib (12.5° / -68.5°)	Type II (32.5° / -118.5°)	27	16	23	0.108	(6)	39.19	-2.23
<i>Globorotalia truncatulinoidea</i> (D)	Type 1 (-22.5° / -36.5°)	Type 2 (-27.5° / -40.5°)	18	17	18	0.036	(9)	39.93	-3.34
<i>Globorotalia truncatulinoidea</i> (D)	Type 1 (-22.5° / -36.5°)	Type 3 (-37.5° / -50.5°)	18	14	16	0.064	(9)	40.09	-2.76
<i>Globorotalia truncatulinoidea</i> (D)	Type 1 (-22.5° / -36.5°)	Type 4 (-46.5° / -56.5°)	18	4	13	0.059	(9)	40.52	-2.83
<i>Globorotalia truncatulinoidea</i> (D)	Type 2	Type 3	17	14	15	0.064	(9)	40.22	-2.76

Morphospecies	Genotype 1	Genotype 2	Temperature (°C)			Divergence		1/k<T> _E	ln(D ₃)
	(Latitude / Longitude)	(Latitude / Longitude)	T ₁	T ₂	<T> _E	D _s	Sources		
	(-27.5° / -40.5°)	(-37.5° / -50.5°)							
<i>Globorotalia truncatulinoides</i> (D)	Type 2 (-27.5° / -40.5°)	Type 4 (-46.5° / -56.5°)	17	4	12	0.062	(9)	40.69	-2.79
<i>Globorotalia truncatulinoides</i> (D)	Type 3 (-37.5° / -50.5°)	Type 4 (-46.5° / -56.5°)	14	4	10	0.012	(9)	40.97	-4.42
<i>Neogloboquadrina pachyderma</i> (D)	Type III (-55.5° / -60.5°)	Type IV (-65.5° / -75.5°)	4	1	3	0.124	(5)	42.10	-2.09
<i>Orbulina universa</i> (S)	Caribbean (12.5° / -68.5°)	Mediterranean (43.5° / 8.5°)	27	18	23	0.104	(6, 10, 11)	39.14	-2.26
<i>Orbulina universa</i> (S)	Caribbean (12.5° / -68.5°)	Sargasso (32.5° / -64.5°)	27	23	25	0.173	(11)	38.88	-1.75
<i>Orbulina universa</i> (S)	Mediterranean (43.5° / 8.5°)	Sargasso (32.5° / -64.5°)	18	23	21	0.120	(11)	39.52	-2.12
<i>Turborotalita quinqueloba</i> (S)	Type I (-14.5° / 145.5°)	Types IIa/IIb (59.5° / -22.5°)	27	10	21	0.058	(4)	39.46	-2.85
<i>Turborotalita quinqueloba</i> (S)	Type IIa (59.5° / -22.5°)	Type IIb (59.5° / -22.5°)	10	10	10	0.077	(4, 5)	41.02	-2.57
<i>Turborotalita quinqueloba</i> (S)	Type IIa (59.5° / -22.5°)	Type IIc (32.5° / -118.5°)	10	16	14	0.070	(5)	40.49	-2.66
<i>Turborotalita quinqueloba</i> (S)	Type IIb (59.5° / -22.5°)	Type IIc (32.5° / -118.5°)	10	16	14	0.007	(5)	40.49	-4.98

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Appendix 3

The latitudinal distribution of foraminifera first occurrences (FOs) was analyzed by using the Neptune database (1), which is comprised of >50,000 records of foraminifera occurrence in >3,000 samples collected from deep-sea drilling cores around the world. The Neptune database has been made publicly available thanks to two major initiatives, Chronos (<http://www.chronos.org>) and the Paleobiology Database (<http://paleodb.org>). For this study, we analyzed morphospecies-level data marked as valid based on the “resolved” taxonomy in the February 2006 version of Neptune downloaded from <http://paleodb.org>. Samples in Neptune were aged using biostratigraphy methods (1). To control for issues associated with this method of age estimation, samples within 0.36 Ma years of hiatuses (periods of negligible sediment accumulation) were excluded based on a published delineation of hiatuses (2) and the reported precision of Neptune age estimates, i.e., ± 0.36 Ma for biostratigraphy events in the Paleogene (3). Data from the following drilling cores were excluded because inspection of published biostratigraphy plots (1) indicated that age estimates were too imprecise for our purposes: 62A, 64, 356, 369A, 433A, 470A, 588C, 700B, and 738B.

Our method of analysis explicitly controls for variation in the intensity of sampling effort and area, because these variables can significantly influence paleontological relationships (4, 5). To control for these variables, foraminifera samples were first assigned to one of four equal area bands of $\sim 9.1 \times 10^7$ km² surface water each (90.00°S–36.11°S, 36.11°S–8.24°S, 8.24°S–18.87°N, 18.87°N–90.00°N), and one of six 5-Ma time intervals (0–5 Ma, ... , 25–30 Ma) by using sample age and paleolatitude

estimates in Neptune. Samples >30-Ma old were excluded from analysis, because the number of samples in Neptune declines precipitously beyond this date (1). A total of 3,728 foraminifera samples were included in our analysis, but sampling varied by more than an order of magnitude among latitudinal bands and time intervals, as shown in the table below.

	0-5 Ma	5-10 Ma	10-15 Ma	15-20 Ma	20-25 Ma	25-30 Ma
90.00°S–36.11°S	123	42	109	98	58	110
36.11°S–8.24°S	363	175	93	51	47	116
8.24°S–18.87°N	437	316	139	40	74	70
18.87°N–90.00°N	947	105	92	18	56	49

To control for this substantial variation in sampling effort, 40 samples were selected at random and without replacement from each of the four latitudinal bands and six time intervals. The total number of samples in this subset of data was slightly less than 960 (= $40 \times 4 \times 6$) because one latitudinal-band/time-interval combination (18.87°N–90.00°N/15–20 Ma) had only 18 samples (see table above). The average speciation rate in each latitudinal band over the 30-Ma time interval was estimated from the 942-sample subset by determining the latitudinal band of FO for each newly observed foraminifera morphospecies and then tallying the total number of FOs for each band. To prevent taxa that may have arisen >30 Ma ago from entering into our calculations, we excluded morphospecies with FO estimates >27.5-Ma old in the full 3,728-sample dataset. A total of 100 942-sample subsets were generated by using the randomization procedure described above to generate the 95% confidence intervals (CIs) depicted in Fig. 3B for the FO rates.

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Appendix 4

Paleontological evidence indicates that equatorial sea-surface temperatures have remained similar to those of today for the past 30 Ma (1) but that ocean temperatures at the poles have cooled $\approx 8^\circ\text{C}$ over this time period from a peak of $\approx 4^\circ\text{C}$ at the Oligocene-Miocene transition to about -4°C today (2). Our analysis explicitly accounts for these spatial and temporal trends in sea-surface temperatures. Given the uncertainties associated with paleotemperature estimates, it is reasonable to assume that equatorial sea-surface temperatures, T_0 , have remained constant at $\approx 301\text{ K}$ ($= 28^\circ\text{C}$) over the past 30 Ma (1, 2). Furthermore, because deep ocean waters are derived primarily from the cooling and sinking of surface waters, it is also reasonable to assume that deep-sea paleotemperatures serve as a proxy for high-latitude sea-surface paleotemperatures at both the North and South Poles (2). Consequently, changes in sea-surface temperature with latitude, L , should be approximately symmetric about the equator. We modeled changes in sea-surface temperature (in Kelvins) with latitude, L (-90° to 90°N), and time, t (-30 Ma to 0), by using the heat equation on the surface of a sphere:

$$T(L, t) = (P(t) - T_0) \sin^2(\pi L / 180) + T_0 \quad (\text{A1})$$

where $L = -90^\circ\text{N}$ corresponds to the South Pole, T_0 is the sea-surface temperature at the equator (i.e., $L = 0^\circ\text{N}$), which was assumed to remain constant at 301 K over the 30-Ma time interval, and $P(t)$ is sea-surface temperature at the poles (in Kelvins) at time t .

Here $P(t)$ was taken to be the robust deep-sea paleotemperature calibration in Fig. 2 of ref. 2. The heat equation (Eq. **A1**) does an excellent job of characterizing latitudinal trends in contemporary sea-surface temperatures (3), as shown by the close

correspondence between the empirical data, represented by closely overlapping circles defining a thickened line in the figure below, and the thinner, fitted line ($r^2 = 0.98$; $T_0 = 301.4 \text{ K} = 28.4^\circ\text{C}$, $P(0) = 268.8 \text{ K} = -4.2^\circ\text{C}$). Eq. **A1** was fitted to the contemporary data using nonlinear least-squares regression.

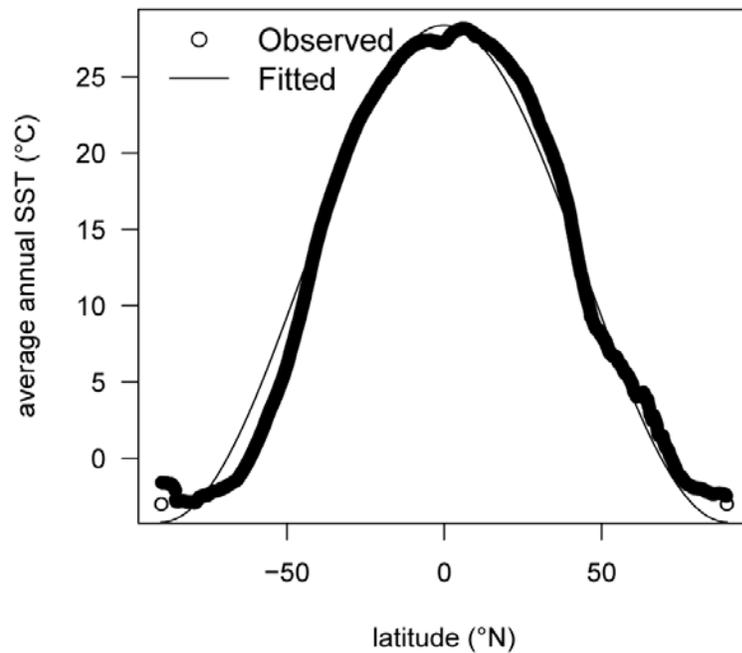
Using Eq. **A1**, we estimated the area-weighted average of $1/kT$ in each latitudinal band over the past 30 Ma as

$$\overline{1/kT} = \left(\frac{1}{30} \right) \int_{t=-30}^{t=0} \left(\frac{1}{9.1 \times 10^7} \right) \int_{L=L_1}^{L=L_2} A(L)(1/kT(L,t))dLdt \quad (\text{A2})$$

where $A(L)dL$ is ocean

area (km^2) in the incremental latitudinal band centered on L (-90° to 90°N) of width dL , and L_1 and L_2 are the limits of integration for each of the four $\approx 9.1 \times 10^7 \text{ km}^2$

latitudinal bands (-90°N to -36.11°N , -36.11°N to -8.24°N , -8.24°N to 18.87°N , 18.87°N to 90°N).



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Appendix 5

Estimating the Temperature Dependence of Community Abundance. Our model yields predictions on the temperature dependence of per capita speciation rate (Eq. 8). Evaluating this prediction required that we explicitly account for temperature-dependent changes in foraminifera abundance across latitudes. In order to avoid difficulties associated with inferring live abundances of foraminifera from accumulation rates of foraminifera tests in sediments (1), we characterized the temperature dependence of foraminifera abundance by using data compiled in the 2001 World Ocean Database (WOD) (2). This approach assumes that foraminifera communities are strongly and directly regulated by temperature, an assumption that is supported by the successful use of community data from fossilized foraminifera for paleotemperature reconstruction (for an example, see ref. 3). The WOD contains samples collected in oceans throughout the world over a time period spanning >50 years. Planktonic foraminifera occur near the ocean surface to depths that exceed 200 m (4). We therefore characterized the temperature dependence of abundance by using all WOD volumetric estimates (individuals m^{-3}) for foraminifera (WOD Biological Group code 303000; Integrated Taxonomic Information System code 44030) that were obtained with net tows that extended from the ocean surface (= 0 m) to depths at or below the thermocline (maximum depth cutoff ≤ 250 m). Abundance estimates meeting these criteria ($n = 1,744$) were regressed against mean annual sea surface temperature data (5) by using an ordinary least-squares (OLS) model, $\ln(J_A) = E_J(1/kT) + \ln(j_o)$, to estimate parameters of the following function:

$$J_A(T) = j_o e^{E_J/kT} \quad (\text{A3})$$

where $J_A(T)$ is total foraminifera abundance per unit area over the depth range 0–250 m (individuals km^{-2}), T is mean annual sea surface temperature in Kelvins, E_J (eV)

characterizes the

temperature dependence

of abundance ($E_J = 0.45$

eV; OLS-estimated

95% CI, 0.37–0.52 eV),

and j_o is a normalization

constant (OLS estimate

of 20 individuals km^{-2}).

The variance explained

by the model is low

(OLS $r^2 = 0.08$), consistent with empirical observations that seasonal fluctuations in

foraminifera abundance at a site generally exceed an order of magnitude (6, 7).

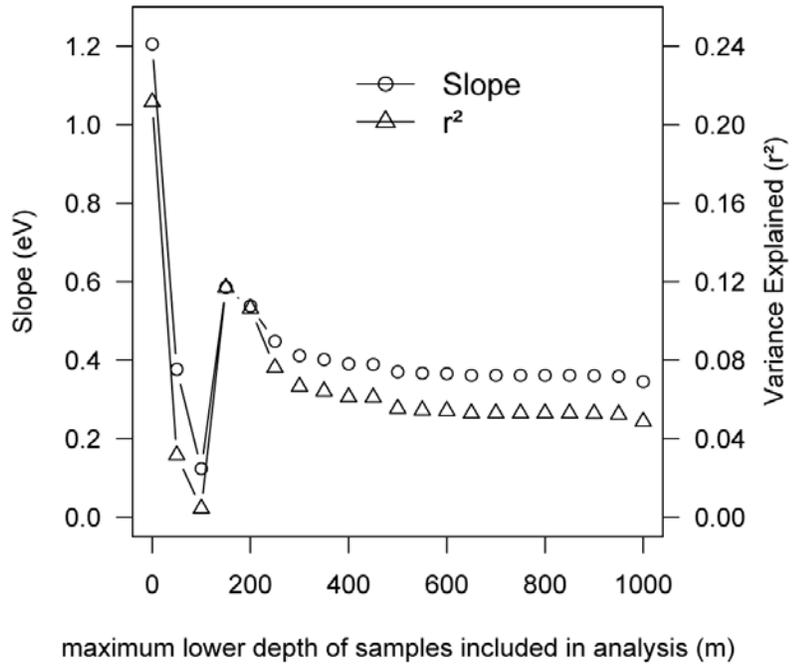
Nevertheless, the model is highly significant ($P < 10^{-15}$). Furthermore, regardless of the

maximum lower depth cutoff used to screen samples (0–1,000 m), the slope E_J is always

positive, indicating pronounced declines in foraminifera abundance in relation to

increasing sea surface temperature. Finally, for all lower depth cutoffs >250 m, the slope

and r^2 value of the fitted model show little change, as shown in the figure above.



Estimating the Temperature Dependence of the per Capita Speciation Rate. Using

$J_A(T)$ (Eq. A3) and $T(L, t)$ (Eq. A1 in Appendix 4), we can evaluate the predicted

temperature dependence of per capita speciation rate while explicitly controlling for latitudinal changes in foraminifera abundance and ocean temperatures over the past 30 Ma. Combining Eqs. **A1-A3** with Eq. **9** yields an expression for the cumulative latitudinal distribution of foraminifera FOs over the time interval $t = -30$ Ma to $t = 0$:

$$F(L) = \int_{t=-30}^{t=0} \int_{L'=-90}^{L'=L} f(L',t) dL' dt \Big/ FO_{Tot} = \int_{t=-30}^{t=0} \int_{L'=-90}^{L'=L} A(L') e^{(E_j - E)/kT(L',t)} dL' dt \Big/ FO_{Tot} \quad (\text{A4})$$

where $F(L)$ is the fraction of all FOs observed globally (FO_{Tot}) over the past 30 Ma that occur between the latitudes -90°N and L , and $f(L,t)dL = A(L)j_o\nu_o B_o e^{(E_j - E)/kT(L,t)} dL$ is the theoretically predicted FO rate in the latitudinal band center on L of width dL and ocean area $A(L)dL$ at time t (FO sec^{-1}) (following Eqs. **8** and **9**). Eq. **A4** has just one fitted parameter, $E - E_j$, which we estimated for each of the 100 sets of standardized FO data generated by randomization as described in Appendix 3. We fit the predicted distribution (Eq. **A4**) to the empirical data by finding the value of $E_j - E$ that minimized Kuiper's statistic (8). Like the Kolmogorov–Smirnov (K–S) statistic, Kuiper's statistic characterizes the difference between two cumulative distributions. For our analysis, Kuiper's statistic is preferable to K–S because it is equally sensitive to differences between observed and predicts cumulative distributions at all values of L . By fitting each of the 100 sets of standardized FO data to Eq. **A4**, we generated the 95% CI for $E - E_j$ (0.25–0.44 eV). These CIs were added to those for E_j (OLS-estimated 95% CI; 0.37–0.52 eV) to obtain the 95% CI for E (95% CI; 0.62–0.96 eV).

Estimating the Normalization Parameter ν_o for the per Capita Speciation Rate.

Combining Eqs. **A2**, **A3**, and **9** yields

$$v_o = (V_m / A_m j_o) e^{(E-E_j)(\overline{1/kT})} \quad (\text{A5})$$

For the equal-area latitudinal bands 1-4 depicted in Fig. 2A, the respective estimates for V_m are 0.70, 1.68, 1.90, and 0.83 FO Ma⁻¹, and the respective estimates for $\overline{1/kT}$ are 40.66, 39.01, 38.58, and 40.07 eV⁻¹. Taking j_o to be 20 individuals km⁻², E to be 0.65 eV, E_j to be 0.45 eV, and A_m to be 9.1×10^7 km² in Eq. **A5**, we obtain an estimate of $v_o \approx 5.6 \times 10^{-20}$ species•individual⁻¹•sec⁻¹.

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